

11-24-03

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ATTORNEYS AT LAW

A PROFESSIONAL CORPORATION

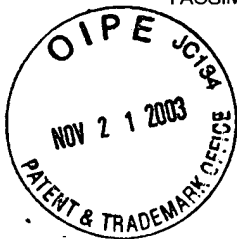
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EXPRESS MAIL LABEL NO.: EV 329 632 863 US

PATENT APPLICATION

Docket No.: 7678.816

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- ☒ Form PTO-1449 list of seventy-four (74) references submitted for consideration.
- ☐ Legible copies of the listed references or their relevant portions.
- ☐ All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- ☐ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.

___ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.

___ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

___ Legible Copies of previously filed Information Disclosure Statement for application Serial No. _____, filed _____.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

___ Promptness Certification.

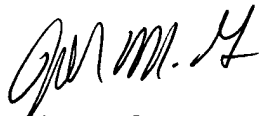
___ Check No. _____ in the amount of \$240.00 constituting submission fee under 37 C.F.R. 1.17(p);

___ Petition for Consideration and Check No. _____ in the amount of \$ _____.

X In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 21st day of November, 2003.

Respectfully submitted,



John M. Guynn
Attorney for Applicant
Registration No. 36,153
Customer No. 022913

EXPRESS MAIL LABEL NO.: EV 329 632 863 US

PATENT APPLICATION

Docket No.: 7678.816



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Peter M. Allred and Neil T. Jessop

Serial No. 10/692,117

Filed: October 22, 2003

For: BLEACHING COMPOSITIONS AND DEVICES
HAVING A SOLID ADHESIVE LAYER AND
BLEACHING GEL ADJACENT THERETO

Examiner: Unknown

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

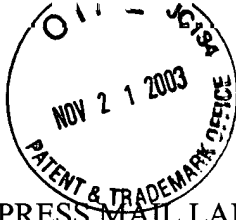
Dated this 21st day of November, 2003.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'John M. Guynn', written in a cursive style.

John M. Guynn
Attorney for Applicant
Registration No. 36,153
Customer No. 022913

JMG:kcs
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EXPRESS MAIL LABEL NO.: EV 329 632 863 US

Form PTO-1449

Sheet 1 of 6

Applicant: Peter M. Allred, et al.

Serial No.: 10/692,117

Att'y Docket No.: 7678.816

Filing Date: October 22, 2003

Group: Unknown

Confirmation No.: Unknown

For: BLEACHING COMPOSITIONS AND DEVICES HAVING A SOLID
ADHESIVE LAYER AND BLEACHING GEL ADJACENT THERETO

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Application Publication Documents

| <u>Examiner Initial*</u> | <u>Patent Number</u> | <u>Publication Date</u> | <u>Name</u> | <u>Class</u> | <u>Sub Class</u> | <u>Filing Date</u> |
|------------------------------|--------------------------|-----------------------------|--------------------|--------------|----------------------|------------------------|
| _____ A1. | US 2001/0046654 | 11/29/01 | Zavitsanos et al. | 433 | 32 | 03/23/01 |
| _____ A2. | US 2002/0006387 | 01/17/02 | Sagel et al. | 424 | 53 | 05/24/01 |
| _____ A3. | US 2002/0006388 | 01/17/02 | Sagel et al. | 424 | 53 | 05/24/01 |
| _____ A4. | US 2002/0012685 | 01/31/02 | Sagel et al. | 424 | 401 | 05/24/01 |
| _____ A5. | US 2002/0018754 | 02/14/02 | Sagel et al. | 424 | 49 | 03/15/99 |
| _____ A6. | US 2002/0081555 | 06/27/02 | Wiesel | 433 | 215 | 02/04/02 |
| _____ A7. | US 2002/0164292 | 11/07/02 | Peterson et al. | 424 | 53 | 01/25/02 |
| _____ A8. | US 2002/0182154 | 12/05/02 | McLaughlin | 424 | 53 | 08/08/01 |
| _____ A9. | US 2002/0187111 | 12/12/02 | Xu et al. | 424 | 53 | 02/22/02 |
| _____ A10. | US 2002/0187112 | 12/12/02 | Xu et al. | 424 | 53 | 02/22/02 |
| _____ A11. | US 2003/0003421 | 01/02/03 | Besenheider et al. | 433 | 215 | 07/02/01 |
| _____ A12. | US 2003/0012747 | 01/16/03 | Peterson | 424 | 53 | 03/23/01 |
| _____ A13. | US 2003/0036037 | 02/20/03 | Zavitsanos et al. | 433 | 215 | 08/05/02 |

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



EXPRESS MAIL LABEL NO.: EV 329 632 863 US

Form PTO-1449

Sheet 2 of 6

Applicant: Peter M. Allred, et al.

Serial No.: 10/692,117

Att'y Docket No.: 7678.816

Filing Date: October 22, 2003

Group: Unknown

Confirmation No.: Unknown

For: BLEACHING COMPOSITIONS AND DEVICES HAVING A SOLID
ADHESIVE LAYER AND BLEACHING GEL ADJACENT THERETO

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|------------|-----------------|----------|-------------------|-----|-----|----------|
| _____ A14. | US 2003/0044631 | 03/06/03 | Sagal et al. | 428 | 548 | 08/22/02 |
| _____ A15. | US 2003/0068284 | 04/10/03 | Sagel et al. | 424 | 53 | 12/17/02 |
| _____ A16. | US 2003/0068601 | 04/10/03 | Zavitsanos et al. | 433 | 215 | 11/20/02 |
| _____ A17. | US 2003/0082114 | 05/01/03 | Kim et al. | 424 | 53 | 02/13/01 |
| _____ A18. | US 2003/0133884 | 07/17/03 | Chang et al. | 424 | 53 | 09/13/02 |
| _____ A19. | US 2003/0194382 | 10/16/03 | Chang et al. | 424 | 53 | 06/20/02 |
| _____ A20. | US 2003/0198606 | 10/23/03 | Kim et al. | 424 | 53 | 05/27/03 |

U.S. Patent Documents

| <u>Examiner Initial*</u> | <u>Patent Number</u> | <u>Issue Date</u> | <u>Name</u> | <u>Class</u> | <u>Sub Class</u> | <u>Filing Date</u> |
|------------------------------|--------------------------|-----------------------|------------------|--------------|----------------------|------------------------|
| _____ A21. | 2,835,628 | 05/20/58 | Saffir | 167 | 84 | 04/01/57 |
| _____ A22. | 3,688,406 | 09/05/72 | Porter et al. | 32 | 40 R | 08/07/70 |
| _____ A23. | Re. 33,093 | 10/17/89 | Schiraldi et al. | 424 | 676 | 11/16/88 |
| _____ A24. | 5,112,225 | 05/12/92 | Dieso | 433 | 48 | 08/02/91 |
| _____ A25. | 5,310,563 | 05/10/94 | Curtis et al. | 424 | 616 | 10/25/91 |
| _____ A26. | 5,326,685 | 07/05/94 | Gaglio et al. | 433 | 215 | 09/14/92 |
| _____ A27. | 5,346,061 | 09/13/94 | Newman et al. | 206 | 221 | 08/06/92 |

Examiner:

Date Considered:

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Sheet 3 of 6

Applicant: Peter M. Allred, et al.

Serial No.: 10/692,117

Att'y Docket No.: 7678.816

Filing Date: October 22, 2003

Group: Unknown

Confirmation No.: Unknown

For: BLEACHING COMPOSITIONS AND DEVICES HAVING A SOLID
ADHESIVE LAYER AND BLEACHING GEL ADJACENT THERETO

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|------------|-----------|----------|-----------------|-----|-----|----------|
| _____ A28. | 5,425,953 | 06/20/95 | Sintov et al. | 424 | 404 | 04/22/92 |
| _____ A29. | 5,575,654 | 11/19/96 | Fontenot | 433 | 215 | 03/15/94 |
| _____ A30. | 5,562,449 | 10/08/96 | Jacobs et al. | 433 | 215 | 04/18/95 |
| _____ A31. | 5,611,687 | 03/18/97 | Wagner | 433 | 80 | 11/06/95 |
| _____ A32. | 5,616,027 | 04/01/97 | Jacobs et al. | 433 | 37 | 04/15/96 |
| _____ A33. | 5,639,445 | 06/17/97 | Curtis et al. | 424 | 49 | 01/06/94 |
| _____ A34. | 5,707,235 | 01/13/98 | Knutson | 433 | 213 | 04/03/95 |
| _____ A35. | 5,711,935 | 01/27/98 | Hill et al. | 424 | 49 | 05/10/94 |
| _____ A36. | 5,769,633 | 06/23/98 | Jacobs et al. | 433 | 37 | 03/05/97 |
| _____ A37. | 5,816,802 | 10/06/98 | Montgomery | 433 | 80 | 09/25/95 |
| _____ A38. | 5,846,058 | 12/08/98 | Fischer | 433 | 216 | 09/26/96 |
| _____ A39. | 5,863,202 | 01/26/99 | Fontenot et al. | 433 | 215 | 08/12/96 |
| _____ A40. | 5,879,691 | 03/09/99 | Sagel et al. | 429 | 401 | 06/06/97 |
| _____ A41. | 5,891,453 | 04/06/99 | Sagel et al. | 424 | 401 | 03/17/98 |
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| _____ A43. | 5,924,863 | 07/20/99 | Jacobs et al. | 433 | 80 | 06/11/97 |
| _____ A44. | 5,980,249 | 11/09/99 | Fontenot | 433 | 80 | 06/11/98 |

Examiner:

Date Considered:

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EXPRESS MAIL LABEL NO.: EV 329 632 863 US

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Sheet 4 of 6

Applicant: Peter M. Allred, et al.

Serial No.: 10/692,117

Att'y Docket No.: 7678.816

Filing Date: October 22, 2003

Group: Unknown

Confirmation No.: Unknown

For: BLEACHING COMPOSITIONS AND DEVICES HAVING A SOLID
ADHESIVE LAYER AND BLEACHING GEL ADJACENT THERETO

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|------------|-----------|----------|-----------------|-----|------|----------|
| _____ A45. | 5,989,569 | 11/23/99 | Dirksing et al. | 424 | 401 | 06/06/97 |
| _____ A46. | 6,045,811 | 04/04/00 | Dirksing et al. | 424 | 401 | 06/06/97 |
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| _____ A48. | 6,106,293 | 08/22/00 | Wiesel | 433 | 215 | 12/04/98 |
| _____ A49. | 6,126,443 | 10/03/00 | Burgio | 433 | 215 | 12/21/98 |
| _____ A50. | 6,136,297 | 10/24/00 | Sagel et al. | 424 | 49 | 03/17/98 |
| _____ A51. | 6,142,780 | 11/07/00 | Burgio | 433 | 80 | 06/15/99 |
| _____ A52. | 6,155,832 | 12/05/00 | Wiesel | 433 | 215 | 10/08/99 |
| _____ A53. | 6,183,251 | 02/06/01 | Fischer | 433 | 48 | 02/26/99 |
| _____ A54. | 6,197,331 | 03/06/01 | Lerner et al. | 424 | 448 | 07/24/97 |
| _____ A55. | 6,247,930 | 06/19/01 | Chiang et al. | 433 | 80 | 09/29/99 |
| _____ A56. | 6,274,122 | 08/14/01 | McLaughlin | 424 | 53 | 05/17/99 |
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| _____ A58. | 6,280,196 | 08/28/01 | Berghash | 433 | 215 | 10/16/00 |
| _____ A59. | 6,287,120 | 09/11/01 | Wiesel | 433 | 215 | 03/27/00 |
| _____ A60. | 6,322,360 | 11/27/01 | Burgio | 433 | 80 | 10/22/99 |
| _____ A61. | 6,343,932 | 02/05/02 | Wiesel | 433 | 215 | 11/13/00 |

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



EXPRESS MAIL LABEL NO.: EV 329 632 863 US

Form PTO-1449

Sheet 5 of 6

Applicant: Peter M. Allred, et al.

Serial No.: 10/692,117

Att'y Docket No.: 7678.816

Filing Date: October 22, 2003

Group: Unknown

Confirmation No.: Unknown

For: BLEACHING COMPOSITIONS AND DEVICES HAVING A SOLID
ADHESIVE LAYER AND BLEACHING GEL ADJACENT THERETO

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|------------|-----------|----------|-------------------|-----|-----|----------|
| _____ A62. | 6,419,903 | 07/16/02 | Xu et al. | 424 | 49 | 08/20/01 |
| _____ A63. | 6,419,906 | 07/16/02 | Xu et al. | 424 | 53 | 03/12/01 |
| _____ A64. | 6,435,873 | 08/20/02 | Burgio | 433 | 80 | 10/10/00 |
| _____ A65. | 6,440,396 | 08/27/02 | McLaughlin | 424 | 49 | 02/29/00 |
| _____ A66. | 6,458,380 | 10/01/02 | Leaderman | 424 | 443 | 11/09/00 |
| _____ A67. | 6,461,158 | 10/08/02 | Sagel et al. | 433 | 30 | 08/14/00 |
| _____ A68. | 6,497,575 | 12/24/02 | Zavitsanos et al. | 433 | 215 | 11/21/01 |
| _____ A69. | 6,500,408 | 12/31/02 | Chen | 424 | 53 | 01/27/01 |
| _____ A70. | 6,503,486 | 01/07/03 | Xu et al. | 424 | 53 | 02/22/02 |
| _____ A71. | 6,506,053 | 01/14/03 | Wiesel | 433 | 215 | 02/04/02 |
| _____ A72. | 6,514,483 | 02/04/03 | Xu et al. | 424 | 53 | 02/22/02 |
| _____ A73. | 6,514,484 | 02/04/03 | Rajaiah et al. | 424 | 53 | 02/28/02 |
| _____ A74. | 6,551,579 | 04/22/03 | Sagel et al. | 424 | 53 | 05/29/01 |

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



EXPRESS MAIL LABEL NO.: EV 329 632 863 US

Form PTO-1449

Sheet 6 of 6

Applicant: Peter M. Allred, et al.

Serial No.: 10/692,117

Att'y Docket No.: 7678.816

Filing Date: October 22, 2003

Group: Unknown

Confirmation No.: Unknown

For: BLEACHING COMPOSITIONS AND DEVICES HAVING A SOLID
ADHESIVE LAYER AND BLEACHING GEL ADJACENT THERETO

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

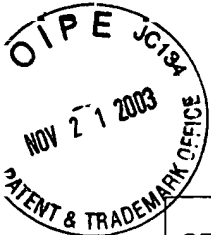
The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



OIP&T

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Peter M. Allred, et al.

Docket No.

7678.816

Serial No.
10/692,117

Filing Date
October 22, 2003

Confirmation No.
Unknown

Examiner
Unknown

Group Art Unit
Unknown

Invention: BLEACHING COMPOSITIONS AND DEVICES HAVING A SOLID ADHESIVE LAYER AND BLEACHING GEL ADJACENT THERETO

I hereby certify that the Transmittal letter (2 pages) (in triplicate); Information Disclosure Statement (2 pgs); Form PTO-1449 Listing all Cited References (6 pgs); and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

November 21, 2003

Kendell Steele

(Typed or Printed Name of Person Mailing
Correspondence)

(Signature of Person Mailing Correspondence)

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("Express Mail" Mailing Label Number)